

# Campaign Filing Schedule for November 3, 2020

| <b>Deadline</b>  | <b>Period</b>       | <b>Form</b> | <b>Notes</b>  |
|--|---------------------|-------------|---|
| <b>Jan 31, 2020**</b><br><i>Semi-Annual</i>                                    | *- 6/30/20          | 460 or 470  | <ul style="list-style-type: none"> <li>460: All committees must file Form 460.</li> </ul>   |
| <b>Within 10 Days</b><br><i>Independent Expenditure Verification</i>           | Ongoing             | 462         | <ul style="list-style-type: none"> <li>Committees making independent expenditures must file this form with the FPPC.</li> <li>Email only. No paper copy is required.</li> <li>Committees file only one Form 462 per election for each candidate or measure supported or opposed by an independent expenditure.</li> </ul>   |
| <b>Within 24 Hours</b><br><i>Contribution/ Independent Expenditure Reports</i> | 8/5/20 – 11/3/20    | 496 or 497  | <ul style="list-style-type: none"> <li><b>496:</b> File if an independent expenditure of \$1000 or more in the aggregate is made.</li> <li><b>497:</b> File if a contribution of \$1000 or more in the aggregate is received from a single source.</li> <li><b>497:</b> File if a contribution of \$1000 or more in the aggregate is made to another candidate or measure being voted upon March 3, 2020, or to a political party committee.</li> <li>The recipient of a non-monetary contribution of \$1000 or more must file a Form 497 within 48 hours from the time the contribution is received.</li> <li>File by personal delivery, e-mail, guaranteed overnight service, fax or online, if available.</li> </ul> |
| <b>Sept 24, 2020</b><br><i>1st Pre-Election</i>                                | 7/1/20 – 9/19/20    | 460 or 470  | <ul style="list-style-type: none"> <li>Each candidate listed on the ballot must file Form 460 or Form 470 (see below).</li> </ul>   |
| <b>Oct 22, 2020</b><br><i>2nd Pre-Election</i>                                 | 9/20/20 – 10/17/20  | 460         | <ul style="list-style-type: none"> <li>All committees must file Form 460.</li> <li>File by personal delivery, guaranteed overnight service or online, if available.</li> </ul>  |
| <b>Oct 29, 2020</b><br><i>3rd Pre-Election</i>                                 | 10/18/20 – 10/29/20 | 460         | <ul style="list-style-type: none"> <li><b>For County Candidates ONLY:</b> County Offices is any of the following: Auditor-Controller-Treasurer-Tax Collector, Assessor-Recorder, County Clerk, District Attorney-Public Administrator, Sheriff-Coroner, and member of the Board of Supervisors. "County office" does not mean Judge of the Superior Court, County Superintendent of Schools, member of a governing board of any local school district or special district, or member of the County Board of Education.</li> </ul>   |
| <b>Feb 1, 2021</b><br><i>Semi-Annual</i>                                       | 10/18/20 – 12/31/20 | 460         | <ul style="list-style-type: none"> <li>All Committees must file Form 460 unless the committee filed termination Forms 410 and 460 before December 31, 2020.</li> <li>County Candidates who filed a 3rd Pre-Election statement use the period of 10/30/20 – 12/31/20.</li> </ul>   |

## Additional Notes:

- \*Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, January 1, if no previous statement has been filed.
- Local Ordinance:** Always check on whether additional local rules apply.
- Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to the deadline for the Form 497 due the weekend before the election, or to any Form 496. Such reports must be filed within 24 hours regardless of the day of the week. Statements filed after the deadline are subject to a \$10 per day late fine.

- **Method of Delivery:** All paper filings are filed by personal delivery or first class mail unless otherwise noted. A paper copy of a report may not be required if a local agency requires online filing pursuant to a local ordinance.
- **Form 501:** All candidates must file Form 501 (Candidate Intention Statement) before soliciting/receiving contributions.
- **Form 460:** Candidates who have raised/spent \$2,000 or more file the Form 460. The Form 410 (Statement of Organization) must also be filed once \$2,000 or more has been raised/spent.
- **Form 470:** Candidates who do not raise or spend \$2,000 or more (or anticipate raising or spending \$2,000 or more) in 2020 and do not have an open committee may file Form 470 on or before September 24, 2020. If, later during the calendar year, a campaign committee must be opened, a Form 470 Supplement and a Form 410 must be filed.
- **Additional reports:** Depending on committee activity, the following reports may also be required:
  - **460: Ballot Measure Quarterly Report.** Quarterly campaign reports are required prior to the semi-annual period in which pre-election reports must be filed.
  - **511: Paid Spokesperson Report.** File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) a payment totaling \$5000 or more to an individual to appear in an advertisement, or 2) a payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter).
- **Independent Expenditures:** Committees making independent expenditures totaling \$1,000 or more to support or oppose other candidates or ballot measures also file:
  - [462](#): This form must be e-mailed to the FPPC within 10 days.
  - [496](#): This form is due within 24 hours if made in the 90-day, 24-hour reporting period of the candidate's or measure's election. Refer to the applicable filing schedule. Form 496 is filed with the filing officer in the jurisdiction of the affected candidate or measure.
- **Other Committee Expenditures:** Contact the FPPC if the committee makes independent expenditures/contributions to candidates and/or other measures. Additional reports may be required.
- **Multipurpose Organizations (including non-profits):** A multipurpose organization that uses its general dues account to make contributions or expenditures may qualify as a major donor or independent expenditure committee and may be required to report payments on Campaign Form 461. Such an organization will qualify as a recipient committee if expenditures exceed \$50,000 in a 12-month period or \$100,000 in four consecutive calendar years. An organization that qualifies as a recipient committee may need to file reports disclosing contributors. For more information, see the FPPC's fact sheet, Campaign Reporting Rules for Multipurpose Organizations.
- **After the Election:** Reporting requirements will depend on whether the candidate is successful and whether a campaign committee is open. See [Campaign Disclosure Manual 2](#) for additional information.
- **Public Documents:** All forms are public documents.
- **Resources:** Campaign manuals and instructional materials are available at [www.fppc.ca.gov](http://www.fppc.ca.gov). Click on Learn, then Campaign Rules.